

In re Patent Application of:)		1.1.
Guillermo LAO et al.)	Group Art Unit: 2171	
Serial No. 10/046,695)	Attorney Docket No. 111325-43	-03
Filed: January 17, 2002)		
For: Methods and Apparatus for Managing Digital Content Usage Rights)	Date: May 15, 2002	

INFORMATION DISCLOSURE STATEMENT

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Commissioner for Patents Washington, DC 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

The documents are being submitted within three (3) months of the filing of this application or entry into the national stage of this application, or before the first Office Action on the merits, whichever is later, therefore no <u>fee</u> or certification is required under 37 C.F.R. § 1.97(b).

The undersigned certifies that each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office, in connection with a counterpart foreign application not more than three (3) months prior to the filing of this statement.

It is requested that the accompanying information disclosure statement be considered and made of record in the above-captioned application. To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

NVA224674.1

dit.

The Commissioner is hereby authorized to charge any fees connected with this filing which may be required now, or credit any overpayment to Deposit Account No. 19-2380.

Respectfully submitted,

Marc S. Kaufman

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PTO/SB/08A (08-00)

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Substitute	e for form 1449A/PTO		VADE	Complete if Known		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			LOSURE	Application Number	10/046,695	
				Filing Date	January 17, 2002	
				First Named Inventor	Guillermo LAO et al.	
			iry)	Group Art Unit	2171	
				Examiner Name	unknown	
Sheet	1	of	1	Attorney Docket Number	111325-43	

				U.S. PATENT DOCUMEN	rs	
Examiner Initials*	Cite No. 1	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code ² (if known)				CEIVED
		5,715,403		STEFIK	Feb. 3, 1998	FOP.
		6,138,119		HALL et al.	Oct. 24, 2000	2002
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FOREIGN PATENT DOCUMENTS								
ner Cite No.1 Foreign Patent Document Kind Code ² Office ³ Number ⁴ (if known)		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶			
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McHugh, J. et al., Computers & Security 07 (1988) 387-396. PCT International Search Report, Date of Mailing April 11, 2002, 6 pages.								
	No.1	No.¹ Office³ Cite No.¹ McHug	Office ³ Number ⁴ Office ³ Number ⁴ OTH Cite Include nan item (book, McHugh, J. et al.	Cite No. 1 Office 3 Number 4 (if known) OTHER PRIOR Cite No. 1 Include name of the auti item (book, magazine, jo	Cite No. 1 Office 3 Number 4 (if known) OTHER PRIOR ART – NON PATENT LITE Cite No. 1 Cite No. 1 Include name of the author (in CAPITAL LETTERS), item (book, magazine, journal, serial, symposium, catal publisher, city and/or coun McHugh, J. et al., Computers & Security 07 (19)	Cite No. 1 Office 3 Number 4 Kind Code 2 (if known) OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS Cite No. 1 Include name of the author (in CAPITAL LETTERS), title of the article (when approitem (book, magazine, journal, serial, symposium, catalog, etc.)., date, page(s), volum publisher, city and/or country where published. McHugh, J. et al., Computers & Security 07 (1988) 387-396.	Cite No. 1 Foreign Patent Document Name of Patentee or Applicant of Cited Document MM-DD-YYYY Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	

	Examiner	Date
ı	Signature	Considered

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.